# SHE based Non Interactive Privacy Preserving Biometric Authentication Protocols

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#### Motivation

Biometric signals often used in access control systems.



#### Privacy Preserving Protocols



Need of data protection for everyone involved in the computation.

Examples: a database and a client, cloud computing.

Some solutions: Homomorphic Encryption, Garbled Circuit . . . Interactive protocols.

## Privacy Preserving Protocols



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Interactive protocols.

Our Solution: **Somewhat Homomorphic Encryption**. Non interactive protocol.

#### What is Somewhat Homomorphic Encryption?

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- \* A cryptographic scheme is called *homomorphic* if it allows to perform one or more operations on plain texts while they are encrypted.
- \* Classic homomorphic encryption (HE), are only additively or multiplicatively homomorphic.
- \* The schemes homomorphic for both addition and multiplication, are called:

Somewhat Homomorphic (SHE): it can perform a limited number of operations.

Fully Homomorphic (FHE): it can perform a virtually infinite number of operations.

The first FHE scheme was proposed by Gentry in 2009. From his intuition many others schemes followed.

#### Pisa et al. Cryptosystem

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- \* Given an integer base  $b = 2^k$  (k > 0), a secret odd integer p, and two random integers r, q, a message mcan be encrypted as:

$$c = \llbracket m \rrbracket = m + br + p \cdot q$$

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\* We extend Pisa et al. scheme to encrypt negative integers.

#### **Negative Numbers**

Given the base  $b = 2^k \Rightarrow$  we can encrypt number in the interval (-b/2, b/2]. The decryption function is performed as:

 $([c]_p \mod b)$ 

The result is:

$$\begin{array}{l} \text{positive if } \left[ [c]_{p} \right]_{b} < b/2 \\ \text{negative if } \left[ [c]_{p} \right]_{b} > b/2 \Rightarrow \left[ [c]_{p} \right]_{b} - b \end{array}$$

In this case the base should be twice the maximum integer that needs to be computed.

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- \* Multiplication: The noise increases as  $\mathcal{O}((br)^2)$ , after few multiplications decryption becomes impossible.

#### Cryptosystem - Implementation

- \* The number of possible operations depends on the base and on a security parameter  $\lambda$ .
- \* Public key size grows with both  $\lambda$  and base b.
- \* For  $\lambda = 20$ , public key is too big (about 11GB for  $b = 2^{50}$ ) to be used in practice.
- \* Multiplication is an expensive time-consuming operation.

#### Application of the Cryptosystem: Authentication Protocol

We assume client has already provided the public key and an encrypted feature vector  $(s_1 \dots s_n)$  in a registration phase.

Step 1: The client sends a probe  $(q_1 \dots q_n)$  to the server.

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- Step 3: The client decrypts the received result by using the secret key and checks if it is positive or negative.

#### Server Computation: Distances

Hamming. Usual: 
$$HD(\mathbf{q}, \mathbf{s}) = \|(\mathbf{q} \otimes \mathbf{s})\|$$
.  
With Integers:  $HD(\mathbf{q}, \mathbf{s}) = \sum_{i=0}^{n} s_i + q_i(1 - 2s_i)$ .  
Encrypted:  
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Euclidean. Usual:  $ED(\mathbf{q}, \mathbf{s}) = \|(\mathbf{q} - \mathbf{s})^2\|$   
Encrypted:  $\|ED(q, s)\| = \sum_{i=0}^{n} (\|q_i\| + \|-s_i\|)^2$ .

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The distance  $D(\mathbf{q}, \mathbf{s})$  is compared with the threshold  $\epsilon$ , by computing the difference between the distance and the threshold under encryption, i.e.

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$$\llbracket d \rrbracket = \llbracket D(\mathbf{q}, \mathbf{s}) - \epsilon \rrbracket = \llbracket D(\mathbf{q}, \mathbf{s}) \rrbracket + \llbracket -\epsilon \rrbracket$$

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- \* Additive blinding cannot be used because it could change the sign of [[d]].
- \* Multiplicative blinding is much less secure.
- \* We adopt a hybrid multiplicative/additive blinding:

$$\llbracket k_1(D(\mathbf{q},\mathbf{s})-\epsilon)+k_2 \rrbracket = \llbracket k_1 \rrbracket \llbracket D(\mathbf{q},\mathbf{s})-\epsilon \rrbracket + \llbracket k_2 \rrbracket$$

where  $k_1, k_2$  are randomly chosen in a way to preserve the sign.

#### First Example: Iriscode Protocol



- \* Find pupil center and iris boundaries.
- \* Each iris local region is demodulated to extract its phase information using 2-D Gabor filters.
- \* The resulting complex coefficients are used to set two bits for the iriscode vector.
- \* The process is repeated along all the iris with many filters configurations: sizes, orientations and frequencies.

#### First Example: Iriscode Protocol

- \* An iris image can be encoded as a 2048 bit vector called iriscode.
- \* Maximum Hamming distance can be represented by 11 bits.

λ	Base	Step 1	Step 2	Step 3
		Client Enc.	Server Comp.	Client Dec.
10	2 <sup>50</sup>	1.2 s	2.0 s	0.2 ms
	2 <sup>100</sup>	1.2 s	5.3 s	4.3 ms
	2 <sup>150</sup>	1.8 s	9.7 s	5.6 ms
15	2 <sup>50</sup>	29s	14 min 33 s	0.2 s

Table: Iriscode protocol's average execution time

#### Second Example: Fingercode Protocol



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- \* A fingerprint can be represented by a vector of 96 features of 2 bits each, called fingercode.
- \* The maximum distance value can be represented with 10 bits.

λ	Base	Step 1	Step 2	Step 3
		Client Enc.	Server Comp.	Client Dec.
10	2 <sup>50</sup>	0.03 s	0.10 s	1 ms
	2 <sup>100</sup>	0.06 s	0.30 s	3 ms
	2 <sup>150</sup>	0.09 s	0.53 s	5 ms
15	2 <sup>50</sup>	1.37 s	37 s	0.18 s
	$2^{100}$	2.80 s	1min 48 s	0.45 s
	$2^{150}$	4.25 s	3 min 17 s	0.79 s

Table: Fingerprint protocol's average execution time

## Communication Complexity

	)	Base			
	7	2 <sup>50</sup>	2 <sup>100</sup>	2 <sup>150</sup>	
Iric	10	13 MB	25 MB	38 MB	
Ins	15	750 MB	1.4GB	2 GB	
Einger	10	606 KB	1.2 MB	1.7 MB	
Finger	15	34 MB	68 MB	102 MB	

Table: Iriscode and fingercode communication complexity.

- \* Iriscode protocol has higher computational complexity than fingercode protocol.
- \* During computation, three vectors should be memorize at the same time. That needs about 6 GB in base  $2^{150}$ .

#### Conclusions

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## Conclusions

- \* We presented a new solution for privacy preserving biometric authentication protocols: SHE.
- \* Novelties: SHE for negatives numbers; blinding.
- \* The runtimes needed by the SHE implementation are by far larger than the execution time of protocols based on Paillier HE or GC (about 1s - interactive protocols).
- \* In our protocol all the computation is completely moved onto the server side and no interaction is needed.
- \* Runtimes can be lowered by using powerful servers allowing for parallelization across more threads.



# Thank you for your attention.

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